

RISK MANAGEMENT ACTION PLAN 2013-14

	THEME / SOURCE / ISSUE	ACTION	TARGET	STATUS	COMMENTS
1.	Risk Reporting / Communication	To further develop and refine the 'risk dashboard' approach in order to clearly and simply articulate the status of key risks.	Dec 2013	IN PROGRESS	A 'Risk Review Workshop' was held in June with the Council Management Team.
2.	Risk Strategy / Communication	To work with the Council Management Team, including the new Chief Executive when in post, to determine the future format and focus of the 'Risk Workshop Reviews' including the process for updating and reviewing the Strategic Risk Register and the overall approach to managing risk.	Sept 2013	IN PROGRESS	In terms of risk reporting, CMT want to see more of a 'risk assurance' approach whereby assurance / evidence is provided in terms of reasonable controls being in place and being complied with. A new approach has been developed and is expected to be presented to CMT for comment on 17 th September. The workshop also included a discussion on 'risk appetite' in the context of the stated desire for the council to be more risk taking. This was with a view to a presentation on this subject at a future Leadership Group meeting.
3.	Business Planning	Review, and where necessary, challenge the content of the Directorate Risk Registers to ensure that the key risks are aligned with the key priorities and that there is consistency of approach.	Sept 2013	IN PROGRESS	Attended each of the respective Directorate Management Teams to assist and support the development of 'directorate risks'. The risk registers are at varying stages in terms of development. 'Risk / Issues' is one of the areas included within the new performance 'scorecard' process which is being rolled out.
4.	Communication	To continue to work with the Directorate Business Development Managers (or their equivalent) recognising their pivotal role in ensuring that there is a co-ordinated and consistent approach to managing risk across the organisation.	Dec 2013	IN PROGRESS	Meetings held with Directorate Business Development Managers both as a group and individually.

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5.	Risk Funding	To arrange for an independent actuarial review of the internal self insurance fund. The review is undertaken triennially, in line with good practice, and will consider whether adequate funding is available within the self insurance arrangements to meet current and future liabilities.	July 2013	COMPLETED	Self Insurance Fund Review completed in June. The review considered the position of the internal insurance fund as at 31 st March 2013 in terms of whether the level of funding is adequate to meet current and future liabilities. The review, which was undertaken by Marsh Risk Consulting using various statistical techniques, concluded that the fund was currently in a healthy position.
6.	Risk Funding	To consider the implications of the Civil Justice Reforms, in particular, the changes that will be required in order to comply with the new protocols relating to how Employers Liability and Public Liability claims (up to £25k) are handled.	July 2013	COMPLETED	Internal communication exercise undertaken to publicise the nature of the changes. Review of internal claims handling procedures, in consultation with insurers, to consider the implications of the changes.
7.	Loss Control Reviews and Surveys	Undertake loss control reviews in order to identify improvements in how risk is managed and/or to seek to reduce losses. In addition, to facilitate and direct a programme of property 'fire and security' risk reviews either at the request of insurance underwriters or in response to an area of concern.	Mar 2014	IN PROGRESS	The last remaining actions arising from the 2012-13 loss control reviews are being completed. Awaiting advice from insurers as to which properties are to be surveyed in 2013-14.
8.	Guidance and advice	Ensure that an appropriate and current range of risk management and insurance guidance documents are available and aligned with the requirements of service areas.	Mar 2014	NOT STARTED	
9.	Policy and Strategy	Review and update the Risk Management Strategy to ensure that it remains relevant and appropriate. Report any significant changes to the Governance Committee.	Mar 2014	NOT STARTED	